



M.P.G.
PATENT
SP02-038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michelle D. Fabien
Shahid G. Lakhawani
Mia K. Roberts
Serial No.: 10/087,043
Filing Date: February 28, 2002
Title: PARTICULATE SEALANT FOR FILTER
PLUG FORMING

SUBMISSION OF
MISSING PARTS

Group Art Unit: 3626

Examiner:

SUBMISSION OF MISSING PARTS & SURCHARGE
UNDER 37 C.F.R. 1.53(d) AND C.F.R. 1.16(e)

COPY OF PAPERS
ORIGINALLY FILED

Attn: Application Processing Division
Assistant Commissioner for Patents
Washington, DC 20231

In reply to the Notice to File Missing Parts of Application, Filing Date Granted, mailed on 4/8/02,
filed herewith are.

- Part 2 - Copy of Notice to File Missing Parts
- Fee Transmittal Letter - \$130 missing parts fee.
- Assignment
- Declaration
- Power of Attorney by Assignee with copy of Assignment attached
- Information Disclosure Statement

Corning Incorporated hereby authorizes the Asst. Commissioner to charge payment of any fees
associated with this application or credit any overpayment to Corning Incorporated Deposit
Account 03-3325.

Respectfully submitted,

Anca C. Gheorghiu

Attorney for Assignee

Anca C. Gheorghiu

Reg. No. 44,120

Corning Incorporated

SP-TI-03-1

Corning, NY 14831

(607) 974-3322

DATE: May 16, 2002

Date of Deposit: 5/16/02

I hereby certify that this paper (along with any
paper referred to as being attached or enclosed) is
being deposited with the United States Postal Service
on the date indicated above with sufficient postage as
first class mail in an envelope addressed to the
Commissioner of Patents and Trademarks,
Washington, DC 20231

Signature Anca C. Gheorghiu
Anca C. Gheorghiu



TOTAL AMOUNT OF PAYMENT (\$130.00)

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

Charge Any Additional Fees Required
Under 37 C.F.R. §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
101	740	Utility filing fee	
106	330	Design filing fee	
107	510	Plant filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	
SUBTOTAL (1)			(\$0)

2. EXTRA CLAIM FEES

Extra Fee from
Claims below
Fee Paid

Total Claims $16 - 20^{**} = 0 \times 18 = 00.00$

Independent Claims $2 - 3^{**} = 0 \times 84 = 00.00$

Multiple Dependent $0 = 0.00$

***or number previously paid, if greater. --or Reissues, see below*

Large Entity Fee Code (\$)	Fee	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

Complete if Known	
Application Number	10/087,043
Filing Date	2/28/02
First Named Inventor	Fabian, Michelle
Examiner Name	To Be Assigned
Group / Art Unit	3626
Attorney Docket Number	SP02-038

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	\$130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,390	Extension for reply within fourth month	
128	1,890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,240	Petition to revive - unintentional	
142	1,240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties)	x
146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$130)

COPY OF PAPERS
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SUBMITTED BY		Completed (if applicable)	
Name (Print/Type)	Anca C. Gheorghiu	Registration No. (Attorney/Agent)	44,120
Signature	(Anca C. Gheorghiu)	Date	May 16, 2002

(U.S.)



Attorney Docket No.: SP02-038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMBINED
CERTIFICATE UNDER
37 C.F.R. § 3.73(b)
and
POWER OF ATTORNEY

Applicant(s): Fabian, et al

Serial No.: 10/087,043

Filed: 2/28/02

For: PARTICULATE SEALANT FOR
FILTER PLUG FORMING

Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

copy of papers
originally filed



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICANT NAME/ENTITY	FILED OR RECEIVED DATE	NAME OF NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,043	02/28/2002	Michelle Fabian	SP02-038

CONFIRMATION NO. 6488

FORMALITIES LETTER



OC00000007825478

Date Mailed: 04/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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